

## Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SEMICONDUCTOR DEVICE AND METHOD OF  
FABRICATING THE SAME

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約  
国際出願番号を \_\_\_\_\_ とし、  
(該当する場合) \_\_\_\_\_ に訂正されました。

☒ was filed on 12/23/99  
as United States Application Number or  
09/472,137 PCT International Application Number  
and was amended on \_\_\_\_\_  
(if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1章56項に定義されたとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

# Japan se Language D claration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づき国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

## Prior Foreign Application(s)

外国での先行出願  
10-377418

(Number)  
(番号)

11-008494

(Number)  
(番号)

Japan

(Country)  
(国名)

Japan

(Country)  
(国名)

私は、第35編米国法典119条(e)項に基づいて下記の米  
国特許出願規定に記載された権利をここに主張いたします。

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米  
国特許出願に記載された権利、又は米国を指定している特許  
協力条約365条(c)項に基づき権利をここに主張します。ま  
た、本出願の各請求範囲の内容が米国法典第35編112条  
第1項又は特許協力条約で規定された方法で先行する米  
国特許出願に開示されていない限り、その先行米  
国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日  
までの期間中に入手された、連邦規則法典第37編1条56項  
で定義された特許資格の有無に関する重要な情報について開  
示義務があることを認識しています。

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

私は、私自身の知識に基づいて本宣言書中で私が行なう表  
明が真実であり、かつ私の入手した情報と私の信じているこ  
とに基づき表明が全て真実であると信じていること、さらに放  
意になされた虚偽の表明及びそれと同等の行為は米国法典第  
18編第1001条に基づき、罰金または拘禁、もしくはそ  
の両方により処罰されること、そしてそのような放意による  
虚偽の表明を行えば、出願した、又は既に許可された特許  
の有効性が失われることを認識し、よってここに上記のごと  
く宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code,  
Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent  
or inventor's certificate, or 365(a) of any PCT International  
application which designated at least one country other than the  
United States, listed below and have also identified below, by  
checking the box, any foreign application for patent or inventor's  
certificate, or PCT International application having a filing date  
before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

December 29, 1998

(Day/Month/Year Filed)  
(出願年月日)

January 14, 1999

(Day/Month/Year Filed)  
(出願年月日)

I hereby claim the benefit under Title 35, United States Code,  
Section 119(e) of any United States provisional application(s) listed  
below.

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

I hereby claim the benefit under Title 35, United States Code,  
Section 120 of any United States application(s), or 365(c) of any  
PCT International application designating the United States, listed  
below and, insofar as the subject matter of each of the claims of  
this application is not disclosed in the prior United States or PCT  
International application in the manner provided by the first  
paragraph of Title 35, United States Code Section 112, I  
acknowledge the duty to disclose information which is material to  
patentability as defined in Title 37, Code of Federal Regulations,  
Section 1.56 which became available between the filing date of the  
prior application and the national or PCT International filing date of  
application.

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own  
knowledge are true and that all statements made on information  
and belief are believed to be true; and further that these  
statements were made with the knowledge that willful false  
statements and the like so made are punishable by fine or  
imprisonment, or both, under Section 1001 of Title 18 of the  
United States Code and that such willful false statements may  
jeopardize the validity of the application or any patent issued  
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**Japanese Language Declaration**  
(日本語宣言書)

委任状: 私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。(弁理士、または代理人の氏名及び登録番号を明記のこと)

Edward D. Manzo, Reg. 28,139

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

## 書類送付先

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## 唯一または第一発明者名

## Full name of sole or first inventor

Shunpei YAMAZAKI

## 発明者の署名

日付

## Inventor's signature

Date

*Shunpei Yamazaki* 03/06/2000  
Residence  
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## Residence

## 国籍

## Citizenship

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## 第二共同発明者

## Full name of second joint inventor, if any

Taketomi ASAMI

## 第二共同発明者

日付

## Second inventor's signature

Date

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(第三以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

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第四共同発明者		Full name of fourth joint inventor, if any	
Ritsuko KAWASAKI			
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第五共同発明者		Full name of fifth joint inventor, if any	
Hiroki ADACHI			
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第六共同発明者		Full name of sixth joint inventor, if any	
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発明者の署名		Inventor's signature	Date
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第七共同発明者		Full name of seventh joint inventor, if any	
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第八共同発明者		Full name of eighth joint inventor, if any	
		Hiroshi SHIBATA	
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住所		Residence	
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第九共同発明者		Full name of ninth joint inventor, if any	
		Yasuyuki ARAI	
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Shunpei YAMAZAKI et al

Serial No: Not Assigned

Filed: Herewith

For: SEMICONDUCTOR DEVICE AND METHOD  
OF FABRICATING THE SAME

To:

Commissioner for Patents  
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Alexandria, VA 22313-1450

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Name: ARMANDO CHING  
(typed or printed)

Signature Armando Ching

APPOINTMENT OF ASSOCIATE ATTORNEYS

Sir:

Please recognize the following as my associate attorneys in  
the above captioned application:

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Respectfully submitted,

Edward Manzo

Edward D. Manzo  
Attorney of Record

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